OIPE JC25 MAY 14 2004 PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

Docket Number	Application Number
23449-026	10/681,286
Applicant(s)	
Joseph G. GATTO	
Filing Date	Group Art Unit
October 9, 2003	3624

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*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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/C.M./	1C	5,132,899	07/21/1992	Fox	364	408	
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/C.M./	1E	5,500,795	03/19/1996	Powers *et al.*	364	401	
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	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES	Translations NO

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	2V	http://interactive.wsj.com/public/current/articles/SB963944657420928118.htm (1/5/01)
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	8B	http://my.zacks.com/?ALERT=www.zacks.com, printed February 2, 2001, 6 pages.
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	SYSTEM AND METHOD FOR FACILITATING THE SELECTION OF SECURITY ANALYST RESEARCH REPORTS

Application Number : 10/681286

Confirmation Number: 2903

First Named Applicant: Joseph GATTO

Attorney Docket Number: 089070-0311375

Art Unit: 3624

Examiner:

Search string: (6064984 or 6073115 or 6208720).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/C.M./	1	6064984	2000-05-16	Ferguson et al.		705	36
/C.M./	2	6073115	2000-06-06	Marshall		705	35
/C.M/	3	6208720	2001-03-27	Curtis et al.		379	114

Remarks

Note: Remarks are not for responding to an office action.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

Signature

Examiner Name	Date
/Clifford Madamba/	05/11/2007

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

OIPE JC98 JUN 28 2005 PATENT & TRADEMARK OFFICE

Docket Number	Application Number
089070-0311375	10/681,286
Applicant(s)	
Joseph G. GATTO	
Filing Date	Group Art Unit
October 9, 2003	3624

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES	Translations NO
/C.M./	A	WO 94/06103	03/17/1994	International				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
/Clifford Madamba/	05/11/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	SYSTEM AND METHOD FOR FACILITATING THE SELECTION OF SECURITY ANALYST RESEARCH REPORTS

Application Number : 10/681286

Confirmation Number: 2903

First Named Applicant: Joseph GATTO

Attorney Docket Number: 089070-0311375

Art Unit: 3624

Examiner:

Search string: (6792399).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/C.M./	1	6792399	2004-09-14	Phillips et al.		703	2

Remarks

Note: Remarks are not for responding to an office action.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. No certification or fee is required. 37 C.F.R. 1.97(b).

Signature

Examiner Name	Date
/Clifford Madamba/	05/11/2007

INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket Number	Application Number
	089070-0311375	10/681,286
	Applicant(s)	
	Joseph G. GATTO	
	Filing Date	Group Art Unit
Form PTO-1449	October 9, 2003	3628

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/C.M./	A	5,845,285	12/01/1998	Klein	707	101	
/C.M./	B	6,606,615	08/12/2003	Jennings *et al.*	706	45	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES	Translations NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
/Clifford Madamba/	05/11/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

OIPE IAP44
FEB 01 2007
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

Docket Number	Application Number
089070-0311375	10/681,286
Applicant(s)	
Joseph G. GATTO	
Filing Date	Group Art Unit
October 9, 2003	3692

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/C.M./	A	5,961,598	10/05/1999	Sime	709	224	
/C.M./	B	6,381,635	04/30/2002	Hoyer *et al.*	709	207	
/C.M./	C	7,016,872	03/21/2006	Bettis *et al.*	705	36R	
/C.M./	D	2006/0178918	08/10/2006	Mikurak	705	7	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES	Translations NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
/Clifford Madamba/	05/11/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket Number	Application Number
	089070-0311375	10/681,286
	Applicant(s)	
	Joseph G. GATTO	
	Filing Date	Group Art Unit
Form PTO-1449	October 9, 2003	3609

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/C.M./	A	6,078,904	06/20/2000	Rebane	705	36	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES	Translations NO
/C.M./	B	2004-046454A	02/12/2004	JAPAN				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/C.M./	C	The Hulbert Financial Digest, January 20, 2000, Volume XX, Number 5, 11 pages.
/C.M./	D	De AENLLE, Conrad, "Short-Sellers' Puzzle: Not Whether, but Which?", International Herald Tribune, February 12, 1994, 2 pages.

EXAMINER	DATE CONSIDERED
/Clifford Madamba/	05/11/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.